



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named  
Inventor : David Milstein et al.

Appln. No. : 10/668,438

Filed : September 23, 2003

For : CONTENT AND TASK-EXECUTION  
SERVICES PROVIDED THROUGH  
DIALOG-BASED INTERFACES

Docket No.: M61.12-0545

Group Art Unit: 2173

Examiner:

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

I HEREBY CERTIFY THAT THIS PAPER IS BEING  
SENT BY U.S. MAIL, FIRST CLASS, TO THE  
COMMISSIONER FOR PATENTS, P.O. BOX 1450,  
ALEXANDRIA, VA 22313-1450, THIS

3<sup>rd</sup> DAY OF January, 2004

Christopher J. Healey  
PATENT ATTORNEY

Sir:

The patents or publications listed on the enclosed PTO Form-1449 are submitted pursuant to 37 C.F.R. § 1.97. Copies of the patents or publications cited are enclosed.

**TIME OF FILING**

The information disclosure statement is being filed:

1. X with the application or within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office action on the merits, whichever event occurs last. In accordance with 37 C.F.R. § 1.97(b), no statement or fee is required.

2. \_\_\_\_\_ after the time period specified in paragraph 1 above, but before the mailing date of a final action under 37 C.F.R. § 1.113 or notice of allowance under 37 C.F.R. § 1.311. Therefore, in accordance with 37 C.F.R. § 1.97(c), submitted herewith is:

(check either A or B below)

A. \_\_\_\_\_ a statement as specified in 37 C.F.R. § 1.97(e).

- B.  the fee set forth in 37 C.F.R. § 1.17(p) for submission of an information disclosure statement under 37 C.F.R. § 1.97(c).
3.  after the mailing date of either a final action under 37 C.F.R. § 1.113 or a notice of allowance under 37 C.F.R. § 1.311, whichever occurs first, but before payment of the issue fee. Therefore, Applicant petitions for consideration and submits herewith:
- A. a statement as specified in 37 C.F.R. § 1.97(e);
  - B. the petition fee set forth in 37 C.F.R. § 1.17(p).

**STATEMENT**

(only used if No. 2(A) or No. 3 above is checked)

The person(s) signing below certify

(check appropriate paragraph)

that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement. 37 C.F.R. § 1.97(e)(1).

OR

that no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this statement. 37 C.F.R. § 1.97(e)(2).

**METHOD OF PAYMENT**

No fee is required.

Attached is a check in the amount of \$\_\_\_\_\_.

The Director is authorized to charge any fee deficiency required by this paper or credit any overpayment to Deposit Account No. 23-1123. A duplicate copy of this communication is enclosed.

Respectfully submitted,

WESTMAN, CHAMPLIN & KELLY, P.A.

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CLH/rkp

FORM PTO-1449		Atty. Docket No.: M61.12-0545	Appl. No.: 10/668,438
<p style="text-align: center;">LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</p> <div style="float: left; margin-right: 10px;"> </div>		First Named Inventor:	
		David Milstein et al.	
		Filing Date September 23, 2003	Group Art: 2173

## U.S. PATENT DOCUMENTS

Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
AA						
AB						
AC						
AD						

## FOREIGN PATENT DOCUMENTS

		Document No.	Date	Country	Class	Sub Class	Translation Yes No
	AE						

## OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

AF	Rooney and McCarrick, John W. & Dick. "Building Your Own Sametime Bots" Part I, Lotus Developer Domain LDD Today. Updated 01/02/03 Copyright IBM, pages 1-7.
AG	Rooney and Stewart, John W. & Eben. "Building Your Own Samebots" Part II. Lotus Developer Domain LDD Today. Updated 03/03/03, Copyright IBM, pages 1-6.
AH	Langley, Pat. "Machine Learning for Adaptive User Interfaces" Intelligent Systems Laboratory, Daimler-Benz Research and Technology Center, 1997, pages 1-10.
AI	Rogers, Fiechter & Langley, Seth, Claude-Nicolas and Pat. "An Adaptive Interact Agent for Route Advice", DaimlerChrysler Research and Technology Center, 1995, pages 1-8.
AJ	Bharat and Cadelli, Krishna A. and Luca. Abstract. "Migratory Applications", 1995, pages 1-10.
AK	ActiveBuddy Products and Services FAQ, ActiveBuddy Inc. October 2002, pages 1-6.
AL	BuddySprict SDK (Software Development Kit), ActiveBuddy, Inc. September 2002, pages 1-3.
AM	Conversagent Press Release. ActiveBuddy, Inc. <a href="http://www.conversagent.com/news/releasess/pr020715.shtml">http://www.conversagent.com/news/releasess/pr020715.shtml</a> Copyright 2000-2003, date of press release, July 15, 2002.
AN	Rickel and Marsella, Jeff and Stacy. Gratch, Traum and Swartout. Jonathan, Randall, David, and William. "Toward a New General of Virtual Humans for Interactive Experiences. IEEE Intelligent Systems. Computer.org/intelligent, July/August 2002. Pages 32-38.

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.